Synthesis of axially substituted gallium, indium and thallium phthalocyanines with nonlinear optical properties

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Abstract
Axially substituted phthalocyanines with gallium, indium and thallium as central coordinated atoms have been synthesized for nonlinear optical (NLO) studies. In particular, the optical limiting effect of 2(3)-tetrabuylphthalocyaninato indium chloride (1), hexadecachlorophthalocyaninato indium chloride (2), 2,3-octa-(2-ethyl-hexyloxy) phthalocyaninato gallium chloride (3\textsubscript{a}), 2,3-octa-(2-ethyl-hexyloxy)phthalocyaninato indium chloride (3\textsubscript{b}), 2,3-octa-(2-ethyl-hexyloxy)phthalocyaninato indium trifluoroacetate (4\textsubscript{a}), 2,3-octa-(2-ethyl-hexyloxy)-phthalocyaninato thallium trifluoroacetate (4\textsubscript{b}), and 2,3,9,10,16,17-hexa-(2-ethyl-hexyloxy) monobenzophthalocyaninato indium chloride (5) has been evaluated and compared when the NLO regime was determined by nanosecond laser pulses in the visible spectrum. In the case of complexes 1 and 4\textsubscript{a} the determination of the excited state absorption cross section at 532 nm could be possible due the verification of reversible saturation of NLO absorption in the adopted experimental conditions. This allowed the use of the steady-state solutions for the kinetic equations describing sequential multiphoton absorption.

Keywords: Phthalocyanine, dinitrile, aromaticity, axial substitution, nonlinear optics, optical limiting

Introduction
Phthalocyanines\textsuperscript{1} (Pcs) are chemically stable complexes whose ligand is characterized by the presence of forty aromatic π-electrons as a summation of the ten aromatic electrons which are present in each of the four isoindolinine units (Figure 1). Different from porphyrins the four isoindoline units of Pcs are bridged by four \textit{meso} nitrogen atoms, which allow the further
electronic conjugation between the isoindoline units. The presence of such an extended network of conjugated \(\pi\)-electrons imparts several properties of interest in Pc molecules. In particular, strong optical absorption in the visible spectrum,\(^2\) electrical conductivity,\(^3\) photoconductivity\(^4\) and nonlinear optical (NLO) properties\(^5\) combined with the chemical robustness of Pcs render this class of compounds particularly suitable for several practical applications like dyes or pigments,\(^6\) gas sensing,\(^7\) xerographic reproduction,\(^4c\) or optical limiting (OL)\(^8\) among others.\(^9\)

![Phthalocyanine](image.png)

**Figure 1.** Phthalocyanine. M can be any bivalent atom of the periodic table or a bivalent chemical group.

In particular, Pcs and analogs are receiving nowadays a considerable interest as active materials for OL devices for their capability of absorbing intense radiations (in the order of several megawatts per square meter), in the vis/NIR spectral range in a reversible fashion with no severe modifications of their optical and chemical properties.\(^8,10\) These characteristics of Pcs and analogs (Figure 1) derive from the possibility of forming electronic excited states with a sufficiently long lifetime to afford the successive efficient absorption of photons under short pulsed irradiation (usually, in the ps or ns range).\(^11\) When excited state absorption occurs from a triplet excited state the related OL effect can be furtherly improved in Pcs through the coordination of heavy central atoms, e.g. Pb,\(^10a\) In\(^10b,10c\) or Tl,\(^12\) which accelerate intersystem crossing (ISC) by means of spin-orbit coupling in the excited complex. Under these conditions the absorbing triplet level can be populated at high rates and yields.\(^13\)

Another favourable feature of these complexes for OL purposes is their capability of hosting tri- or tetravalent central atoms that require the further coordination of one and two axial substituents, respectively.\(^14\) In fact, axial substituents indirectly stabilize the electronic excited states which are involved in the multiphoton absorption processes through either preventing or reducing intermolecular aggregation in solution with the increase of molecules concentration.\(^10c\) In the event of excited state absorption the occurrence of molecular aggregation should be avoided since it perturbs the electronic structure of the complex in the non-aggregated form,\(^15\) and generally decreases the lifetime of excited states due to self-quenching.\(^16\) From this it is evident that new Pc structures with improved OL effect would coordinate heavy atoms with large axial substituents in order to afford both occurrence of the heavy-atom effect and prevention of
molecular aggregation. For these reasons we have synthesized the series of axially substituted phthalocyaninato gallium, indium and thallium complexes 1-5 (Figure 2), whose structures combine the presence of large central atoms and axial ligands, in order to analyze and compare the OL effect produced by these complexes.

In particular we prepared 2(3)-tetratertbutylphthalocyaninato indium chloride (tBu₄PcInCl) (1),¹⁰ᵇ hexadecachlorophthalocyaninato indium chloride (Cl₁₆PcInCl) (2); 2,3-octa-(2-ethyl-hexyloxy)phthalocyaninato gallium chloride [(EHO)₈PcGaCl] (3a); 2,3-octa-(2-ethyl-hexyloxy)phthalocyaninato indium chloride [(EHO)₈PcInCl] (3b); 2,3-octa-(2-ethyl-hexyloxy)phthalocyaninato indium trifluoroacetate [(EHO)₈PcIn(OCOCF₃)] (4a); 2,3-octa-(2-ethyl-hexyloxy)phthalocyaninato thallium trifluoroacetate [(EHO)₈PcTl(OCOCF₃)] (4b); and 2,3,9,10,16,17-hexa-(2-ethyl-hexyloxy)monobenzophthalocyaninato indium chloride [(EHO)₆PhPcInCl] (5) (Figure 2). The role of the central metal as well as the effect of the axial substituents on the resulting NLO properties are discussed. The evaluation of the NLO transmission for the complexes 1-5 has been carried out for ns pulses at 532 nm.
Figure 2. Axially substituted gallium (3a), indium (1, 2, 3b, 4a, 5) and thallium (4b) phthalocyanines for NLO studies. Notation for the identification of the atoms in the ligands 1-4 is given in the sketched isoindolinine unit.
Results and Discussion

Axially substituted phthalocyanines 1-5 (Figure 2) all display reverse saturable absorption (RSA)\textsuperscript{17} when irradiated with ns pulses at 532 nm within the incident fluence ($F_{\text{in}}$) range $5 \times 10^{-3} < F_{\text{in}} < 3 \text{ J cm}^{-2}$ (Figures 3-6). This implies that the optical transmission of the solutions of these complexes reversibly decreases with the increase of $F_{\text{in}}$ when the radiation fluence is in the order of $10^{16}-10^{19}$ photons per ns. The occurrence of such a NLO effect at 532 nm is mainly attributed to a mechanism of sequential two-photon absorption (Figure 7), which involves the participation of an excited state of the complex in the process of multiphoton absorption.\textsuperscript{18} Under these circumstances an excited electronic state is formed upon absorption of the first photon, and it absorbs more efficiently than the ground state at the wavelength of irradiation.\textsuperscript{10,17-19} In terms of absorption cross-section $\sigma$ the occurrence of RSA in 1-5 brings about the existence of excited states which have the absorption cross-section $\sigma_{\text{exc}}$ larger than the ground state, i.e. $\sigma_{\text{exc}} > \sigma_{g}$.\textsuperscript{20} Since incident light pulses have a duration of several ns (broad pulse duration range: 3-10 ns), the lifetime of the absorbing excited state of Pcs 1-5 cannot be shorter than several ns. There is also another implication in the occurrence of RSA of pulsed radiation from Pcs 1-5 through excited state absorption: the formation time of the absorbing excited states [either via an internal conversion or an intersystem crossing process (ISC), Figure 7] has to be shorter than the pulse duration itself, i.e. less than few ns. In Pcs the effect of RSA upon irradiation with ns long pulses in the visible spectrum is associated with the strong absorption of a triplet excited state.\textsuperscript{10} This is because ISC usually takes place in less than 1 ns in Pcs\textsuperscript{10} and the presence of heavy atoms like Ga, In and Tl in 1-5 tends to further accelerate such a process.\textsuperscript{21}

![Figure 3](image-url)  
**Figure 3.** Variation of the NLO transmittance with the input fluence $F_{\text{in}}$ for $t\text{Bu}_{4}\text{PcInCl}$ (1) and unsymmetrically substituted (EHO)$_{6}\text{PhPcInCl}$ (5) at 532 nm. Laser pulse duration was 7 ns. The concentrations of 1 (in toluene) and 5 (in pyridine) were 0.07 and 0.09 g L\textsuperscript{-1}, respectively. These values correspond to the concentrations 8 and $6 \times 10^{-5}$M for 1 and 5, respectively. The linear transmittance $T_{0}$ of the samples was 0.88. The beam waist of the focused laser is about 40 µm and 1 mm-thick cell was used for this experiment.
**Figure 4.** Variation of the NLO transmittance with the input fluence $F_{in}$ for a saturated solution of Cl$_{16}$PcInCl (2) in 1-chloronaphthalene at 532 nm. Laser pulse duration was 7 ns. The linear transmittance $T_0$ of the samples was 0.78. The beam waist of the focused laser is about 40 µm and 1 mm-thick cell was used for this experiment.
Figure 5. Variation of the NLO transmittance at 532 nm with $F_{in}$ for (EHO)$_8$PcGaCl (3a, open down triangles), (EHO)$_8$PcInCl (3b, ◊), (EHO)$_8$PcTl(OCOCF$_3$) (4b, □) and C$_{60}$ for comparison (●). Laser pulse duration was 7 ns. The concentrations of 3a, 3b and 4b in toluene are 0.8, 0.2 and 0.3 g L$^{-1}$, respectively. These values correspond to the concentrations 4.8 x 10$^{-4}$, 1.2 x 10$^{-4}$ and 1.6 x 10$^{-4}$ M for 3a, 3b and 4b, respectively. The linear transmittance $T_0$ of the samples was the same for all the complexes ($T_0 = 0.86$). The beam waist of the focused laser is about 40 µm and 1 mm-thick cell was used for this experiment.
Figure 6. Variation of the NLO transmittance at 532 nm with $F_{\text{in}}$ for (EHO)$_8$PcIn(OCOCF$_3$)$_2$ (4a). Laser pulse duration was 7 ns. The concentration of 4a in toluene is $2.6 \times 10^{-4}$ M. The linear transmittance $T_0$ of the sample at the wavelength of analysis was 0.72. The beam waist of the focused laser is about 50 µm and 1 mm-thick cell was used for this experiment.

Figure 7. Jablonski diagram depicting the mechanism of sequential two-photon absorption. $S_0$, $S_{1(2)}$, and $T_{1(2)}$ indicate the ground singlet state, the first (second) excited singlet state and the first (second) excited triplet state, respectively. Pc absorbs the first photon through the transition $[S_0 \rightarrow S_1]$, and, depending on the dynamics of the irradiated system, will absorb sequentially a second photon through either the transition $[S_1 \rightarrow S_2]$ or $[T_1 \rightarrow T_2]$. $\sigma_{\text{ex}}^{S(T)}$ and $\sigma_g$ are the absorption cross-sections from the excited singlet (triplet) state and the ground state, respectively. RSA implies that $\sigma_{\text{ex}}^{S(T)} > \sigma_g$. Oblique and straight downward arrows indicate phosphorescence and fluorescence decays, respectively. $[S_1 \rightarrow T_1]$ is intersystem crossing (ISC). Only the fundamental vibrational level of the various electronic levels is indicated.
When the NLO transmission curves of \( tBu_4PcInCl \) (1) and (EHO)\(_6\)PhPcInCl (5) are compared (Figure 3), we observe a more rapid decrease of transmittance for 1 with respect to 5 in correspondence of \( F_{in} \) values higher than 0.2 J cm\(^{-2}\). One of the main reasons for such differences in the NLO behaviors of 1 and 5 (Figure 3) has to be found in the diverse nature and number of the peripheral substituents in these complexes, which have distinct capabilities of preventing molecular aggregation in 1 and 5. It is known that the possible formation of molecular aggregates in Pcs increases the rate of relaxation of excited states due to self-quenching\(^{16}\) and, consequently, the lifetime of the absorbing excited state of Pcs in NLO regimes decreases. The resulting OL effect due to excited state absorption (Figure 7) is thus reduced upon occurrence of molecular aggregation.\(^{10c}\)

From these considerations it is expected that the lifetimes of the absorbing excited state in \( tBu_4PcInCl \) (1) and (EHO)\(_6\)PhPcInCl (5) are generally different as well as the extent of the perturbation of the electronic structure of 1 and 5 from their monomeric forms.\(^{16}\) In particular, the lack of any substituent in the peripheral phenyl ring of unsymmetrical (EHO)\(_6\)PhPcInCl (5) is a major cause of molecular aggregation in correspondence of such an unsubstituted moiety and the observed smaller OL effect of the unsymmetrical complex 5 (minimum transmittance \( T_{\text{min}} = 0.42 \)) with respect to \( tBu_4PcInCl \) (1) (\( T_{\text{min}} = 0.2 \)) is associated with such a structural variation.\(^{22}\) In conclusion, the presence of an unsubstituted moiety in (EHO)\(_6\)PhPcInCl (5) brings about the destabilization of the first excited triplet state (T\(_1\) in Figure 6) of 5 due to the occurrence of intermolecular interactions in correspondence of such a moiety.\(^{22}\) Moreover, another possible reason for the better OL efficiency of \( tBu_4PcInCl \) (1) with respect to (EHO)\(_6\)PhPcInCl (5) is the lower extinction coefficient of 1 with respect to 5 at 532 nm. Such a difference imposes a larger concentration of 1 with respect to 5 in order to obtain the same value of linear transmittance \( T_0 \) in both solutions of \( tBu_4PcInCl \) (1) and (EHO)\(_6\)PhPcInCl (5). As a consequence of that, the density of the photoactive units is higher for the solution of 1 in comparison to 5 and a stronger NLO absorption of 1 is expected.

In the case of the perchlorinated system Cl\(_{16}\)PcInCl (2) a generally low OL efficiency at 532 nm (Figure 4) is observed when this is compared to the ones of \( tBu_4PcInCl \) (1) and (EHO)\(_6\)PhPcInCl (5) (Figure 3). This trend is expected since 2 has shown clear evidence of molecular aggregation in 1-chloronaphthalene (the solvent used in the experiment of Figure 4), as ascertained by the wide broadening of the optical absorption bands of 2 (not shown here).\(^{15}\) As a consequence of that, the lifetime of the absorbing excited state of Cl\(_{16}\)PcInCl (2) is extremely shortened with respect to a non-aggregated system like \( tBu_4PcInCl \) (1), and the associated OL effect results relatively poor with \( T_{\text{min}} = 0.5 \) (the latter value includes also the NLO contribution of the solvent - see upper curve in Figure 4).

The variation of the NLO transmission of (EHO)\(_8\)PcGaCl (3a), (EHO)\(_8\)PcInCl (3b) and (EHO)\(_8\)PcTl(OCOCF\(_3\)) (4b) is presented in Figure 5. The comparison of the OL effect from 3a, 3b and 4b when the linear transmittance \( T_0 \) of their solutions is equal (\( T_0 = 0.86 \) in toluene) shows that the gallium complex (EHO)\(_8\)PcGaCl (3a) displays the larger limiting effect (\( T_{\text{min}} = 0.33 \)), whereas the indium (3b) and thallium (4b) complexes have a comparable behavior (\( T_{\text{min}} = \)
0.41 and 0.45 for \(3b\) and \(4b\), respectively). Such a trend can be explained considering that different concentrations of the photoactive molecules have been used in order to keep the same value of \(T_0\) at the wavelength of analysis (532 nm). In fact, the comparison of the OL effect at a fixed wavelength imposes the condition of equal \(T_0\) values at that wavelength for the solutions to be compared. The variations of the molar extinction values at 532 nm \((\varepsilon_{532})\) for \((\text{EHO})_8\text{PcGaCl} (3a)\), \((\text{EHO})_8\text{PcInCl} (3b)\), and \((\text{EHO})_8\text{PcTl(OCOCF}_3) (4b)\) in toluene \([\log\{\varepsilon_{532}/(\text{L mol}^{-1} \text{ cm}^{-1})\} = 3.13, 3.74 \text{ and } 3.60 \text{ for } 3a, 3b \text{ and } 4b\), respectively], require that the amount of substance necessary for reaching a fixed value of \(T_0\) is different. Consequently, the observed variations in the OL effect have to be mostly associated with the differences in the values of concentration of the photoactive units.\(^{8c}\)

In case of \((\text{EHO})_8\text{PcInCl} (3b)\) and \((\text{EHO})_8\text{PcTl(OCOCF}_3) (4b)\) the similarity of their \(\varepsilon_{532}\) values and, consequently, of their concentration in the OL experiment of Figure 5, allows an additional consideration concerning the comparison of the actual OL effectiveness of \(3b\) and \(4b\). Since \((\text{EHO})_8\text{PcInCl} (3b)\) and \((\text{EHO})_8\text{PcTl(OCOCF}_3) (4b)\) reach close values of \(T_{\text{min}}\) and have similar values of concentration in the adopted experimental conditions, it is concluded that the ISC acceleration (Figure 7) associated with the heavy-atom effect\(^{21}\) is not critically influenced by the nature of the central metal in passing from In to Tl when the process of multiphoton absorption of Pcs involves ns laser pulses.

The variation of the NLO transmittance of \((\text{EHO})_8\text{PcIn(OCOCF}_3) (4a)\) as a function of \(F_{\text{in}}\) is presented in Figure 6. When the concentration of \((\text{EHO})_8\text{PcIn(OCOCF}_3) (4a)\) is \(2.6 \times 10^{-4}\)M, \(4a\) reaches the value \(T_{\text{min}} = 0.1\). Similar to \(1\) (Figure 3) complex \(4a\) also shows the onset of the flattening of the NLO transmission curve, which is indicative of the beginning of a saturation process.\(^{11a}\) In these conditions the value of \(T_{\text{min}}\) corresponds to the saturation transmittance \(T_{\text{sat}}\). Saturation onset takes place at \(F_{\text{in}} = 4.2 \text{ and } 2.4 \text{ J cm}^{-2}\) for \(1\) and \(4a\), respectively (Figures 3 and 6), whereas the occurrence of NLO absorption saturation is not verified with \(2,5\) (Figure 4), \(3a,3b\) and \(4b\) (Figure 5) within the same range of \(F_{\text{in}}\). When saturation effects are verified in the multiphoton absorption process of a molecular species it is then possible to evaluate the absorption cross section \(\sigma_{\text{exc}}\) of the absorber for the excited state transition at the basis of the OL effect.\(^{11a}\) In fact, if it is assumed that the initial ground state population density \((N_g)\) is totally transferred into the highly absorbing excited state the value of \(T_{\text{sat}}\) can be directly correlated with \(\sigma_{\text{exc}}\) through the relationship:\(^{11a}\)

\[
T_{\text{sat}} = \exp(-\sigma_{\text{exc}}N_gL) \quad (1)
\]

where \(L\) is the optical path length. From eq (1) it is found \(\sigma_{\text{exc}} = 3.2 \times 10^{-16}\) and \(1.4 \times 10^{-16}\) \(\text{cm}^2\) at 532 nm for \(t\text{Bu}_4\text{PcInCl} (1)\) and \((\text{EHO})_8\text{PcIn(OCOCF}_3) (4a)\), respectively. In the case of \(1\) the value of \(\sigma_{\text{exc}}\) at 532 nm calculated through eq (1) is comparatively large (about five times larger) with respect to the values previously found for \(t\text{Bu}_4\text{PcInCl} (1)\).\(^{8c,10b,10c}\) This is because in eq (1) it is supposed that \(T_{\text{sat}}\) is determined exclusively by the molecular absorber when in the excited state with the exclusion of the ground state absorption which precedes the absorption of the
second photon from the excited state (Figure 7). On the other hand, such an approximation can be removed if we consider that the actual value of transmittance $T$ is always determined by all the states (either ground or excited) of the molecule which have a non null value of $\sigma$ at the wavelength of irradiation and contribute at some stage to the decrease of transmittance.\(^2\) This leads to the definition of the relationship:

$$T = \exp\{-\sum_i (\sigma_i \cdot N_i) \cdot L\} \quad (2)$$

where the summation is extended to all the $i$-states of the molecule (either ground or excited or aggregated with a defined number of absorbing molecular units), which have non-zero absorption cross-section at the wavelength of irradiation. In eq(2) $N_i$ refers to the number of chemically defined entities, either monomolecular or aggregated, which are in a state with absorption cross-section $\sigma_i$.

When sequential two-photon absorption takes place and no optically absorbing aggregates are formed eq(2) is simplified into:

$$T = \exp[-(\sigma_g N_g + \sigma_{exc} N_{exc})L] \quad (3)$$

In the particular case of optical absorption saturation, in correspondence of $T_{sat}$ eq(3) becomes:

$$T_{sat} = \exp[-(\sigma_g + \sigma_{exc})N_g L] \quad (4)$$

Eq(4) retains its validity if no light-induced degradation of the molecular absorber takes place in NLO regime, if $S_1$ is populated and then fully converted into $T_1$ state with an ISC yield of 100 % (Figure 7). From eq(4) it is found $\sigma_{exc} = 2.9 \times 10^{-16}$ and $1.3 \times 10^{-16}$ cm$^2$ at 532 nm for 1 and 4a, respectively, being $\sigma_g = 2.6 \times 10^{-17}$ cm$^2$ for 1 and $\sigma_g = 2.2 \times 10^{-17}$ cm$^2$ for 4a at 532 nm. Eq(4) shows that $T_{sat}$ is a critical transmission value, which is mainly determined by the absorptive properties of the molecular species in the excited state through the property $\sigma_{exc}$. On the other hand, ground state absorption is also necessary to start such a NLO phenomenon which is based on sequential multiphoton absorption. Different to eq(1), the formulation of eq(4) takes into account also the occurrence of ground state absorption through the inclusion of the parameter $\sigma_g$.

In the case of (EHO)$_8$PcIn(OCOCF$_3$) (4a) the kinetics of NLO absorption decays at different wavelengths were determined (Figure 8). Within the wavelength range 450-520 nm the NLO absorption time profile of 4a is characterized by an instantaneous peak which decays within the first 2 µs after the initial absorption of the excitation laser shot. Such a finding implies that the excited state which is responsible for the initial variation of absorption has a lifetime not shorter than about 1 µs. Such an excited state should correspond to the excited triplet state $T_1$ (Figure 7), as previously verified with analogous systems.\(^{10b,10c,11c}\) Similar to (EHO)$_8$PcIn(OCOCF$_3$) (4a) in
the short range, i.e. less than 100 ns, \((\text{EHO})_{x}\text{PhPcInCl} \ (5)\) shows an analogous decay of NLO transmittance at 532 nm when pumped at 560 nm with 5 ns laser pulses (Figure 9). The findings of Figure 9 imply that the lifetime of the absorbing excited state \(T_1\) (Figure 7) is longer than 400 ns for \(5\). Moreover, the ISC time for the formation of the absorbing state \(T_1\) results to be less than few ns for \((\text{EHO})_{x}\text{PhPcInCl} \ (5)\) from the temporal profile of Figure 9.\(^{10b}\)

The analysis of the decay profiles in Figure 8 reveals the existence of a slower and less intense component of the NLO absorption variation within the approximate time range 1.5-5 \(\mu\)s.\(^{24}\) The attribution of this second slow absorption component of \(4a\) is not fully clear at the moment and cannot be directly explained with the four-level model of Figure 7. Cumulative effects generating long-living absorbing excited states or newly formed chemical species in the adopted conditions cannot be excluded as possible origins of such a delayed photophysical phenomenon. Under the conditions of the pump and probe experiments the samples can undergo a process of photochemical degradation. This was verified with \((\text{EHO})_{x}\text{PcIn(OCOCF}_3\text{)} \ (4a)\), which displayed a decrease of linear absorption (Figure 10) after a series of pump and probe experiments.

![Figure 8](image_url)

**Figure 8.** Temporal variations of the NLO absorption change \(\Delta A\) of \((\text{EHO})_{x}\text{PcIn(OCOCF}_3\text{)} \ (4a)\) at different wavelengths. The sample \(4a\) was excited with a series of 250 laser shots at 532 nm with \(F_{in} = 0.25\text{ J cm}^-2\). The frequency of irradiation was 6 Hz. At 532 nm the sample had \(T_0 = 0.85\). The reported profile is obtained as the accumulation of 250 consecutive signals. Time \(t = 0\) corresponds to the time at which the excitation shot reaches its maximum fluence (temporal gaussian profile).
Figure 9. Temporal variations of the ratio of the NLO transmittance $T_{\text{NLO}}$ to the linear transmittance $T_0$ at 532 nm of (EHO)$_6$PhPcInCl (5). The wavelength of the pump beam was at 563 nm with fluence 0.15 J cm$^{-2}$. Time $t = 0$ corresponds to the time at which the excitation pulse starts (temporal gaussian profile).

Figure 10. Optical spectrum of (EHO)$_8$PcIn(OCOCF$_3$) (4a) before and after the pump and probe experiment in Figure 8.

Experimental Section

2(3)-Tetratertbutylphthalocyaninato indium chloride (1). tBu$_4$PcInCl (1)$^{25}$ was prepared from the reaction between InCl$_3$ (1.5 g, 7 mmol), and 4-tert-butylphthalodinitril$e^{25b}$ (5.0 g, 27.5 mmol) in dry quinoline (10 mL) at 180 °C for six hours (Scheme 1). Quinoline was removed from the
reaction mixture by distillation under reduced pressure. After distillation the green residue was chromatographed on silica with CHCl$_3$ as eluent. The crude compound was finally recrystallized from CH$_3$OH. Formation yield of 1 (blue-green powder): 49 % (3 g). Elemental analysis [found (theoretical)]: C = 65.2 % (65 %); H = 5.4 % (5.4 %); Cl = 4.1 % (4.0 %); N = 12.3 % (12.6 %). Mass spectrometry data (FD): 886.2 [single positively charged molecular peak, M$^+$]. $^1$H-NMR (CDCl$_3$): $\delta$ = 1.83 [m, 36 H, C(CH$_3$)$_3$], 8.30 (m, 4H, 1-H), 9.30 (m, 4H, 2-H), 9.45 (m, 4H, 2'-H). $^{13}$C-NMR (CDCl$_3$): 32.02 (CH$_3$), 36.16 [C(CH$_3$)$_3$], 119.75 (C-2'), 123,15 (C-2), 128.52 (C-1), 135.15 (C-3), 137.6 (C-3'), 153.15 (C-4,4'), 154.62 (C-1'). UV/vis absorption peaks (in nm; solvent : CHCl$_3$): 697 ($\lambda_{max}$), 667 (sh), 628, 360, 340 (sh).

**Scheme 1**

**Hexadecachlorophthalocyaninato indium chloride (2).** Cl$_{16}$PcInCl (2) was prepared from the template reaction between InCl$_3$ (0.1 g, 0.5 mmol), and tetrachlorophthalonitrile (0.5 g, 1.9 mmol) in 1-chloronaphthalene (0.2 mL) at 180 °C for four hours (Scheme 1). The reaction mixture was washed several times with CH$_3$OH and then filtered. After filtration the mixture was dried under vacuum at 230 °C for twenty hours in order to remove the contaminants which were insoluble in CH$_3$OH. $^{13}$C-CP/ MAS-NMR (10$^3$ Hz): broad peaks at 145 and 116 ppm have been detected. Mass spectrometry (MALDI-TOF): 1215 [molecular peak with the addition of one proton]. Formation yield of 2 (bright green powder): 43 % (0.26 g). UV/vis absorption peaks (in nm; solvent: 1-chloronaphthalene): 744 (b), 697 ($\lambda_{max}$), 665 (sh), 630 (b), 425(b), 366 (b).

**2,3-Octa-(2-ethyl-hexyloxy)phthalocyaninato gallium chloride (3a).** (EHO)$_8$PcGaCl (3a) was prepared by template reaction of 4,5 bis (2-ethylhexyloxy)-1,2-phthalodinitrile (1.54 g, 4 mmol) with GaCl$_3$ (260 mg, 1.48 mmol) in 1-chloronaphthalene (4 mL) for five hours at 185 °C (Scheme 1). After cooling down, the crude mixture was poured into 200 ml methanol, stirred for 15 minutes and cooled in the refrigerator for a few hours. After centrifugation, washing with
more methanol and drying, 3a was three times reprecipitated from hot methanol and dried in vacuum at 100°C. Formation yield of 3a (dark green powder): 33% (0.550 g). Elemental analysis [found (theoretical)]: C = 70.09% (70.16%); H = 8.84% (8.83%); N = 6.90% (6.82%). Mass spectrometry data (FD): 1642.0 \left[M^+\right]. \textsuperscript{1}H NMR (THF-d8): \(\delta = 0.92\) (s, br, 48 H, CH\textsubscript{3}), 1.33, 1.53 (br, 64 H, CH\textsubscript{2}), 2.05 (br, 8 H, CH), 4.24, 4.39 (br, 16 H, OCH\textsubscript{2}), 9.16 (br, 8 H, H-2). \textsuperscript{13}C NMR (CDCl\textsubscript{3}): 11.1, 14.2 (C-CH\textsubscript{3}), 23.0, 23.2, 29.0, 30.8 (C-CH\textsubscript{2}), 39.3, 39.8 (C-CH), 72.0 (C-OCH\textsubscript{2}), 105.6, 106.2 (br, C-2), 131.4 (br, C-3), 143.5-149.7 (C-4), 153.0 (br, C-1). UV/Vis absorption peaks (in nm; solvent: CH\textsubscript{2}Cl\textsubscript{2}): 694.5 (\(\lambda_{\text{max}}\)), 625.0, 441.0, 357.5.

**2,3-Octa-(2-ethyl-hexyloxy)phthalocyaninato indium chloride (3b).** \((\text{EHO})_8\text{PcInCl} (3b)\) was prepared upon reaction of 100 mg InCl\textsubscript{3} (0.45 mmol) with 140 mg octa(2-ethylhexyloxy)PcH\textsubscript{2} (0.090 mmol) in 20 mL of freshly distilled DMF and 5 mL of anhydrous THF. The reaction mixture was stirred and kept at 145 °C for three hours (Scheme 2). After cooling, the product was precipitated by dropwise addition of water, filtered, and finally washed thoroughly with aqueous CH\textsubscript{3}OH. 3b was obtained after drying in vacuum at 90 °C. Formation yield of 3b (dark green powder): 88% (0.13 g). Elemental analysis [found (theoretical)]: C = 67.95% (68.19%); H = 8.50% (8.55%); N = 6.58% (6.64%). Mass spectrometry data (FD): 1686.1 \left[M^+\right]. \textsuperscript{1}H NMR (THF-d8): \(\delta = 0.96\) (s, br, 48 H, CH\textsubscript{3}), 1.42, 1.63 (br, 64 H, CH\textsubscript{2}), 1.97 (br, 8 H, CH), 4.36 (br, 16 H, OCH\textsubscript{2}), 8.94 (br, 8 H, H-2). \textsuperscript{13}C NMR (CDCl\textsubscript{3}): 11.4, 14.1 (C-CH\textsubscript{3}), 23.1, 24.1, 29.2, 30.8 (C-CH\textsubscript{2}), 39.7 (C-CH), 72.2 (C-OCH\textsubscript{2}), 103.7, 105.4, 106.1 (br, C-2), 127.4, 129.8, 131 (br, C-3), 149.7, 150.3, 152.8 (C-4), 154.2, 157.1 (br, C-1). UV/Vis absorption peaks (in nm; solvent: CH\textsubscript{2}Cl\textsubscript{2}): 699 (\(\lambda_{\text{max}}\)), 671.5, 629.5, 446, 401, 363.

**Scheme 2**

**2,3-Octa-(2-ethyl-hexyloxy)phthalocyaninato indium trifluoroacetate (4a).** \((\text{EHO})_8\text{PcIn(CO}_2\text{CF}_3) (4a)\) was prepared upon reaction of In(CF\textsubscript{3}COO)\textsubscript{3} (200 mg, 0.4 mmol) and octa(2-ethylhexyloxy)PcH\textsubscript{2} (250 mg, 0.160 mmol) in 20 mL of freshly distilled DMF and 5 mL of quinoline (Scheme 2). The reaction mixture was stirred and boiled at 145 °C for five hours. After cooling, the product was precipitated upon dropwise addition of a 10% solution of NaOH in CH\textsubscript{3}OH. After filtration, the precipitate was washed several times with aqueous CH\textsubscript{3}OH and
finally dried in vacuum at 70°C. Formation yield of 4a (dark green powder): 73 % (200 mg). Mass spectrometry data (FD): 1710.2 [M⁺]. ¹H NMR (THF-d₈): δ = 1.10 (s, br, 24 H, CH₃), 1.22 (s, br, 24 H, CH₂), 2.22 (br, 8 H, CH), 4.54 (br, 16 H, OCH₂), 9.15 (br, 8 H, H-2). ¹³C NMR (THF-d₈): 12.2, 12.5, 15.2 (C-CH₃), 24.9, 25.8, 30.9, 33.2 (C-CH₂), 41.4, 41.6 (C-CH), 73.3 (C-OCH₂), 107.4 (br, C-2), 135.7 (br, C-3), 143.5–149.7 (C-4), 151.3–153.8 (br, C-1), 157.1 (C=O). IR (KBr): ν (cm⁻¹): 2960, 2932, 2849, 1702 (C=O), 1601, 1370, 1269, 1200, 1042, 878, 842, 745 (C-F), 716 (C-F), 666. UV/Vis absorption peaks (in nm, solvent: CH₂Cl₂): 723.5 (λmax), 645.5, 402.

2,3-Octa-(2-ethyl-hexyloxy)phthalocyaninato thallium trifluoroacetate (4b). (EHO)₈PcTl(CO₂CF₃) (4b) was prepared upon reaction of 120 mg Tl(CF₃COO)₃ (0.2 mmol) with 125 mg octa(2-ethylhexyloxy)PcH₂ (0.080 mmol) in 15 mL of freshly distilled DMF and 4 mL of quinoline (Scheme 2). The reaction mixture was stirred and kept at 145 °C for six hours. After cooling, the product was precipitated upon a dropwise addition of a 10% solution of NaOH in CH₃OH, filtered, washed through several times with aqueous methanol and dried in vacuum at 70°C. Formation yield of 4b (dark green powder): 60 % (100 mg). Elemental analysis [found (theoretical)]: C= 61.96 % (62.93 %); H = 5.65 % (6.82 %); N = 5.97 % (6.04 %). Mass spectrometry data (FD): 1855.6 [M⁺]. ¹H NMR (THF-d₈): δ = 1.00 (s, br, 24 H, CH₃), 1.12 (s, br, 24 H, CH₃) 1.33, 1.49 (br, 64 H, CH₂), 1.98 (br, 8 H, CH), 4.48 (br, 16 H, OCH₂), 8.95 (br, 8 H, H-2). ¹³C NMR (THF-d₈): 12.2, 12.5, 15.0, 15.2 (C-CH₃), 24.7, 25.8, 30.7, 30.9, 32.2, 33.2 (C-CH₂), 41.4, 41.7 (C-CH), 73.0 (C-OCH₂), 107.0 (br, C-2), 135.2 (br, C-3), 143.5–149.7 (C-4), 151.3–153.8 (br, C-1), 157.1 (C=O). UV/Vis absorption peaks (in nm, solvent: CH₂Cl₂): 720.5 (λmax), 403.5, 364.5.

2,3,9,10,16,17-hexa-(2-ethyl-hexyloxy)monobenzophthalocyaninato indium chloride (5). (EHO)₆PhPcInCl (5) was prepared by stastical condensation of 4,5 bis-(2-ethylhexyloxy)-1,2-phthalodinitrile (1.27 g, 3.3 mmol) and 2,3-naphthalodinitrile (180 mg, 1 mmol), with InCl₃ (220 mg, 1 mmol) in 1-chloronaphthalene (5 ml) at 190°C for 12 hours (Scheme 3). After cooling, the crude mixture was poured into 200 ml CH₃OH, stirred for 15 minutes and kept in the refrigerator for four hours. After centrifugation of the cooled solution the solvent CH₃OH was removed and the reaction mixture was washed with CH₃OH for the second time and finally dried. Successively the dried mixture underwent a separation process through column chromatography on SiO₂ using CH₂Cl₂ as eluent. (EHO)₆PcInCl (3b) constituted the first fraction. After removal of 3b the eluent was changed and the mixture CH₂Cl₂/THF in the volume ratio 25:1 was used, instead, in order to obtain 5 in the second fraction. The successive fractions were discarded. After evaporation of the solvent, 3b and 5 were washed in hot CH₃OH for three times and dried in vacuum at 100°C. Formation yield of 5 (dark green powder): 27 % (400 mg). Elemental analysis [found (theoretical)]: C = 69.3 % (67.89 %); H = 8.8 % (8.00 %); N = 7.8 % (7.54 %). Mass spectrometry data (field desorption operation mode): 1481.6 [M⁺], 1378.2 [M⁺-C₈H₁₇]. ¹H NMR (THF-d₈): δ = 1.00 (s, br, 18 H, CH₃), 1.10 (s, br, 18 H, CH₃) 1.31, 1.52 (br, 48 H, CH₂), 1.98 (br, 6 H, CH), 4.48 (br, 12 H, OCH₂), 7.62 (s, 2 H, H-6), 8.31 (s, br, 2 H, H-5), 8.75 (br, 6 H, H-9). 9.95 (br, 2 H, H-3). ¹³C NMR (THF-d₈): 12.2, 12.6, 14.2 (C-CH₃), 24.5,
25.9, 30.7, 30.9, 32.6, 33.4 (C-CH$_2$), 41.4, 41.8 (C-CH), 73.2 (C-OCH$_3$), 107.0 (br, C-9), 120.0 (br, C-3), 130.0-132.1 (br, C-4, C-5, C-6), 135.2, 135.4 (br, C-2, C-8), 143.5-149.7 (C-1, C-7), 151.3–153.8(br, C-10). UV/vis absorption peaks (in nm; solvent: toluene): 685 ($\lambda_{max}$), 655(sh), 622, 440 (b), 360.

\[
\begin{align*}
\text{RO} & \quad \text{RO} \\
\text{NC} & \quad \text{NC}
\end{align*}
\]
\[
\begin{align*}
3 & \quad + \\
\text{InCl$_3$, 1-Cl-Naphthalene} & \quad 190 \, ^\circ\text{C}, 12 \text{ hrs}
\end{align*}
\]

\[
\begin{align*}
\text{R} & = \text{-CH(C$_2$H$_5$)(CH$_2$)$_4$CH$_3$}
\end{align*}
\]

Scheme 3

Optical transmission measurement. For the determination of NLO transmission of the complexes here presented we used the second harmonics of emission of a pulsed Nd:YAG laser at 532 nm as light source. The incident beam had temporal and spatial gaussian profiles, and the pulse duration was in the range 5-10 ns. NLO transmission curves have been taken with f/number = 65 using a Gaussian beam as input beam when the sample is located in correspondence of the beam focus. The choice of such “slow” optics of incidence was dictated by the need of minimizing unavoidable heating effects during the positioning of the sample in correspondence of the focal plane. Beam waist is about 40 µm in the experiments of NLO transmission determination. The collection of the transmitted beam was done in the open aperture configuration using the same optics as for the incident beam.

Time stability of the samples (liquid solutions) for NLO experiments has been checked adopting the following three procedures: 1) daily check of the linear optical spectrum of the sample for one week; 2) comparison of the NLO transmission data obtained at 10 Hz of repetition rate and with single pulse irradiation; 3) irradiation of the sample solution with fixed energy pulses at 10 Hz of repetition rate for thirty minutes and successive check of the NLO transmission. Possible solvent effects on the NLO transmission of the samples were studied by measuring the JNLO transmission of the pure solvent. Solvents for NLO experiments were used without further purification (commercial spectroscopic grade). Calibration of the optical apparatus was conducted using reference samples of C$_{60}$ as standard. The NLO properties of the different samples were characterized in the same conditions of irradiation and compared with those of the standard.
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References and Footnotes


