Studies in organic archaeometry I: identification of the prehistoric adhesive used by the "Tyrolean Iceman" to fix his weapons¹

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Knowing about his many interests I dedicate this paper to my old friend Otto Meth-Cohn on the occasion of his 65th birthday

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Abstract

The weapons of the glacier mummy of the chalcolithic "Man from the Hauslabjoch" (i.e. the "Tyrolean Iceman", nicknamed "Oetzi") contained traces of organic adhesives, obviously used to fix parts of his weapons (i.e., the flintstone arrowheads and the copper hatchet) to their respective shafts.

In order to prove whether or not these adhesives might be birch bark pitches similar to some other organic materials found in Central and Northern Europe, model pitches were prepared from a large number of different trees and a sequence of analytical methods was developed to differentiate between the different species of trees.

The crucial features of this analytical sequence consisted in the isolation of a characteristic terpene fraction by Kugelrohr distillation, followed by GC/MS analysis and by application of chemometrics to improve the interpretation of the GC/MS results.

By this methodology it could be shown that Oetzi's weapons were glued by means of birch bark pitch. To prepare this, pyrolysis of the bark of the birch (betula pendula = betula verrucosa) obviously gave a tar, which on heating was converted into the thermoplastic pitch.

Keywords: prehistoric adhesive, birch bark pitch, triterpenes, betulin, chalcolithic weapons, Tyrolean glacier mummy ("Tyrolean Iceman")

Introduction

In 1991 the frozen mummy of a man was found by chance in a slightly retreating glacier of the Tyrolean Alps close to the frontier between Austria and Italy. Although the find should exactly be called "the man from the *Hauslabjoch*"² (according to the geographical site), he was immediately named "the Tyrolean Iceman" or simply "*Oetzi*", (in German: $\ddot{O}tzi$), nicknamed according to the torrent $\ddot{O}tz$ having there its origin.

According to the characteristic objects found with him he obviously lived some 5200 years before our days, thus belonging to the Late Neolithic (Chalcolithic). This old age as well as the relatively good preservation due to the frozen state made *Oetzi* as well as the accompanying finds a favoured object of archaeological research².

Among the many accompanying objects found near to Oetzi were two arrows with flintstone arrowheads and a copper hatchet; in all three objects the stone or metal part was fixed to appropriate wooden shafts by means of an organic agglutinant. When publishing our earlier studies about this material we came to the conclusion³ that the substance in question is a betulin-containing pitch, i.e., the product of pyrolysis of wood or bark taken from a tree belonging to the family of birch-like trees (*betulaceae*), maybe even taken from the birch (*betula*) itself. These results were in analogy to some other ones obtained already earlier by us⁴ and by other researchers⁵ when studying (by a variety of methods) prehistoric organic materials found at many different sites in Central and Northern Europe.

The present study should not only confirm our earlier results but aimed at additional and more specified information.

The method which we developed for this purpose was the following:

"Model pitches" were prepared from a large number of different trees. Several archaeological pitches already analyzed (coming from Denmark, Austria and Hungary, dated from Late Neolithic to Early Iron Age) were also used for comparison.

From all these samples (from archaeological as well as from model pitches)

the significant triterpene fraction was "cut out" by Kugelrohr distillation,

the product thus obtained was prepurified and analyzed by GC/MS.

Finally the data thus obtained were studied by chemometric methods.

Results and Discussion

Preliminary GC/MS results

Figure 1 shows the gas chromatogram (total ion current from a GC/MS analysis) of a pitch sample used as adhesive at Oetzi's weapons.

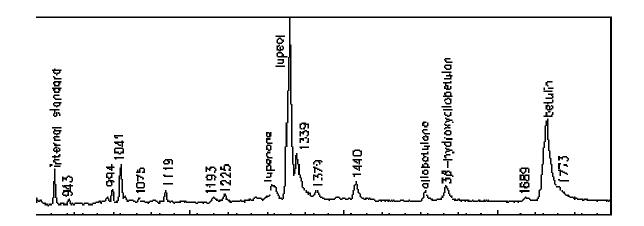


Figure 1. Preliminary GC/MS studies: analysis of the pitch used as adhesive to fix Oetzi's copper hatchet.

As both the two other samples taken from the adhesives of Oetzi's weapons gave practically identical GC results, it can already be concluded that all the three studied adhesives which Oetzi has used to fix his weapons were identical, hat the triterpenes usually found in prehistoric pitches are occurring here as well, hat some triterpenes like lupeol and betulin are occurring, which are always found in pitches prepared from the bark of the birch (betula alba), while they are never found in pitches prepared from many other trees such as ash (fraxinus), maple (acer), elm (ulmus) or oak (quercus), that betulin is occurring in such high quantities that only bark of the birch (betula) can be assumed to have been the raw material from which this prehistoric pitch was prepared in Oetzi's time, as all the other genera of the birch-like family (betulaceae) are containing betulin only in small quantities. From all this it can already be concluded that the studied material which Oetzi has used as adhesives to fix the stone and copper parts of his weapons to their respective shaft were prepared by pyrolysis from bark of the birch (betula). To make these results even more unequivocal, chemometric methods were applied with the intention also to allow a distinction within the members of the birch-like family (betulaceae).

Chemometric studies

Differentiation between birches and "non-birches" (also including "non-betulaceae")

Linear discriminant analysis was applied to test whether birch samples can be separated from samples originating from other trees by an objective chemometric method or not. The result is shown in Figure 2

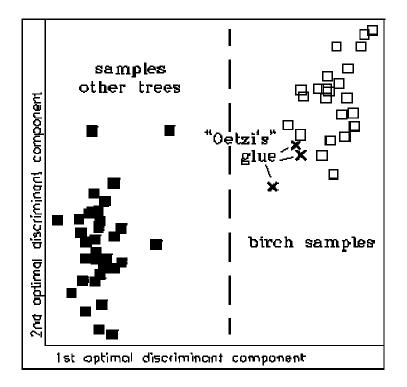


Figure 2. LDA differentiates between birches and other trees.

The plot depicts class 1: 23 (distilled) pitches prepared from the bark of the birch (under differing conditions), class 2: 33 (distilled) pitches prepared

from wood and/or bark of other trees, and the 3 (distilled) prehistoric adhesives taken from Oetzi's weapons (symbolized by X).

This result was checked by a "Leave-a-quarter-out" test to minimize too optimistic conclusions based on artifact separations, but no misclassification was found. The obvious result: the three analyzed adhesives used by Oetzi can be assigned to the bark of the birch (betula).

Differentiation between the birch and "non-birches" (within the family "betulaceae")

This differentiation is dealing only with different members of the betulin containing botanical family of birch-like trees (betulaceae), within which only the bark of the birch (betula) contains 1 a r g e quantities of betulin. Application of PLS discriminant mapping allowed a good separation of the four considered classes of samples. The obvious result is that the three samples in question can be attributed to the birch.

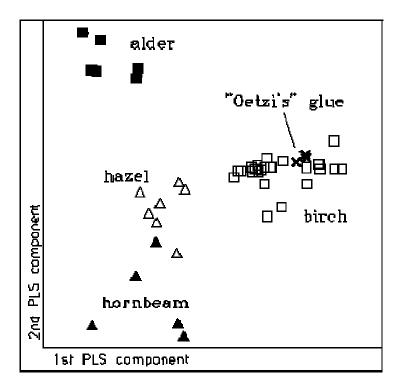


Figure 3. PLS discriminant mapping.

Two of the Oetzi samples symbolized by X are overlapping each other.

Experimental Section

Archaeological samples

Apart from some prehistoric pitches which we had already analyzed earlier (3 from Denmark, 14 from various sites in Lower Austria and 3 from Upper Austria, all of which were used for comparison) three samples stemming from the *Oetzi* trouve were studied:

traces of the agglutinant taken from the copper hatchet $91/112^{\#}$ traces from the agglutinant found on a flintstone arrowhead $91/112(28)^{\#}$ traces from the agglutinant found on the arrowhead of arrow no.12 $91/121^{\#}$ ($^{\#}$ = inventory of the Römisch-Germanisches Zentralmuseum Mainz, Germany)

Preparation of the "model pitches"

Preparation of pitches by pyrolysis of wood or bark

(in particular for preparing larger quantities of pitch)

Ca. 60 g of the natural material (e.g. birch bark) were cut to small pieces, dried for 5-6 days at 70°C and pyrolyzed in a vertically mounted glass tube (ca. 30-35 cm length, sealed on top, narrowed and closed with some glass wool at the bottom) by electric heating from outside (thermostat, measuring temp. inside the glass tube: temp. raised from room temp. to ca. 500°C within 80 min., 1 hr. kept at 500°C). Beginning at ca. 260°C a viscous oil was dropping down through a funnel into a flask, becoming darker at ca. 280°C, while beginning with ca. 300°C the product thus obtained was a brown, viscous oil, solidifying on cooling (yield: ca. 6 g), which was Kugelrohr distilled to isolate the terpene fraction as described below.

Shortened procedure combining pyrolysis + distillation (used for wood/bark samples)

(particularly applied for preparation of small quantities of tars)

Ca. 1-4 g dried bark or wood were slowly (12-15 min.) heated in a Kugelrohr up to 300°C under reduced pressure (22-26 mbar, ca. 45-55 min) and kept at 300°C (temp. measured outside) for 15 min., yielding a viscous oil as above.

Treatment of the archaeological samples

Out of ca. 350-980 mg of the prehistoric pitch (according to availability; in special cases only ca. 10 mg) the characteristic terpene fraction was isolated by Kugelrohr distillation as described above (c.f. 2.2.).

Purification of the products obtained by distillation

Before being used for GC/MS analysis the oily products obtained by distillation were purified by solid phase extraction: A solution in THF (p.a., FLUKA 87368; 1 ml for 10 mg distilled oil) was filtered through a *Bond Elut Silica* column. After washing with some THF the solution was once again filtered through a *Bond Elut C18* (ODS) column (washed with MeOH-THF 4:1). The purified solution thus obtained was distilled to dryness (40°C, under N₂) and the residue dissolved in THF for GC/MS analysis.

Gas chromatography / mass spectrometry (GC/MS)

For GC/MS analyses a *Fractovap 4160 (Carlo-Erba)* (30 m "fused silica" capillary column DB-5 Durabond; He: 0.9 bar; temp. program: 45-290°C)with on-column injection was used, directly coupled to a mass spectrometer Finnigan MAT 8230 (70 eV in El mode for m/z 45-750; resolution 1000; 1.3 sec/scan).

Chemometrics

Although - following our methodology - GC/MS and chemometric studies had only to deal with the "terpene fraction", only a small number of substances could be identified by MS or by comparison of retention times. Therefore the MS peak patterns were used to characterize the mixture components present in different species of trees.

Since the gas chromatograms of pitches prepared from conifers proved to be significantly different from those prepared from deciduous trees, chemometric studies could be restricted to the latter ones. The concentrations of 50 most characteristic substances have been used for multivariate data analysis⁶ by linear discriminant analysis (LDA) and partial least squares (PLS) discriminant mapping.

Conclusions

The adhesives used by Oetzi, the "Tyrolean Iceman", to fix his flintstone arrowheads and his copper hatchet to their respective shafts is a pitch, prepared by heating a tar, which was obtained by pyrolysis of birch bark. As only the bark of the common birch tree (betula pendula = betula verrucosa) contains correspondingly large quantities of betulin, it seems obvious that this was used in Oetzi's time as the starting material to prepare the desired agglutinant.

The origin of this material is in accordance with many other prehistoric pitches which were used in Northern and Central Europe as polyfunctional material (e.g. used for ancient repair work of broken pottery, or as coating of potteries, etc.).

The fact that the results obtained from the Oetzi samples are in full correspondence with "pure" model pitches prepared exclusively from birch bark allows the conclusion that also in prehistoric times "pure" birch bark was the raw material used.

The question by which technique the desired pitch might have been prepared in prehistoric times can be answered from the chemical point of view only by hypothetical assumptions, but two observations should be given from our side:

*when raw material had to be collected by us for preparing the model pitches it soon turned out that larger quantities of birch bark can be recovered by far easier from a birch which was cut down ca. one year ago, and

*the fact that in prehistoric pitches some "thermic degradation markers", e.g. lupenon (as depicted in fig.1) and lupadiene are occurring only in very low quantities could indicate that the prehistoric production process was less vigorous as compared with our laboratory technique.

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