# Asymmetric oxidation of substituted dithianes and dithiolanes by engineered yeast and *E. coli* expressing cyclohexanone monooxygenase

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### Dedicated to Dr. Joseph M. Muchowski on his 65<sup>th</sup> birthday (received 09 Nov 02; accepted 28 Apr 03; published on the web 06 May 03)

#### Abstract

2,2-Disubstituted 1,3-dithiolanes and dithianes can be oxidized to enantiomerically and diastereomerically pure or highly enriched monosulfoxides using engineered *E. coli* and *Saccharomyces cerevisiae* (baker's yeast). The fermentations in simple shake flasks can be easily carried out in conventional chemical laboratories. The substrate types suitable for these transformations are discussed. The asymmetric syntheses of two useful optically and diastereomerically pure dithiolane monosulfoxides are reported.

**Keywords:** Engineered whole cells, cyclohexanone monooxygenase, oxidation of sulfides to sulfoxides, optically pure 1,3-dithianes monosulfoxides, 1,3-dithiolanes monosulfoxides

### Introduction

Chiral sulfoxides are important compounds for a variety of reasons. They are valuable starting materials<sup>1</sup> and versatile chiral auxiliaries<sup>2</sup> in synthetic chemistry; in natural products, they occur in functionalized amino acids that exhibit a wide range of biological activities including antibiotic properties;<sup>3</sup> they are also found as metabolites of many sulfur-containing drugs.<sup>4</sup>

Asymmetric oxidations of sulfur are catalyzed by several classes of enzymes, such as monooxygenases, chloroperoxidases, and dioxygenases.<sup>5</sup> One of the most studied has been the enzyme cyclohexanone monooxygenase (CHMO), from *Acinetobacter* sp. NCIB 9871. It oxidizes, often with good stereoselectivities, a very wide range of dialkyl sulfides,<sup>6,7</sup> benzyl alkyl sulfides,<sup>8</sup> alkyl aryl sulfides,<sup>7,9</sup> dithianes,<sup>9</sup> and dithiolanes.<sup>9</sup>

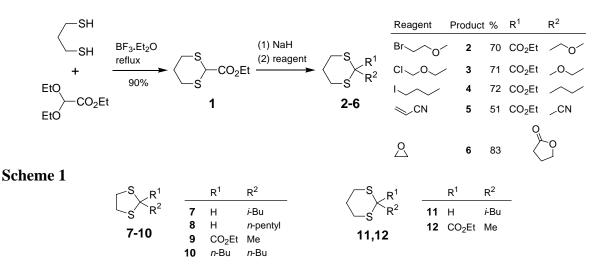
Whole-cell catalyzed reactions have an advantage over isolated enzymes insofar that enzymes do not need to be isolated and purified, and there is no need for addition of expensive co-factors. Preparative-scale sulfoxidations are most conveniently performed in this way, and further scale-ups are feasible. There are, however, problems associated with whole cell fermentations, the most common being over-metabolism of the product, competing reactions, and formation of contaminating metabolites. The use of recombinant organisms overexpressing the desired enzyme often allows to minimize or to avoid entirely such difficulties.

We have engineered a "designer yeast", in which CHMO is overexpressed in common baker's yeast *Saccharomyces cerevisiae*,<sup>10</sup> and we have demonstrated its usefulness in performing asymmetric Baeyer-Villiger oxidations<sup>11</sup> and oxidation of simple sulfides to sulfoxides.<sup>12</sup> More recently, we constructed a recombinant *E. coli* strain overexpressing the same enzyme and evaluated its potential as a reagent for biooxidations of simple prochiral sulfides.<sup>12</sup> These studies have shown that recombinant strains overexpressing CHMO are useful reagents for asymmetric Baeyer-Villiger reactions and can provide chiral sulfoxides with chemical yields and optical purities comparable to those obtained by enzymatic and traditional chemical methods. In the present study we evaluate the performance of the recombinant strains in oxidations of more complex prochiral 2-substituted dithianes and dithiolanes.

### Results

Since the pioneering work of Walsh and co-workers,<sup>13</sup> a large variety of sulfides with simple hydrocarbon chains have been investigated and have been found to be acceptable substrates for CHMO.<sup>6-9,14-19</sup> The biotransformations using engineered organisms overexpressing the desired enzymes are often simpler, and more likely to be used by organic chemists than the reactions with isolated enzymes or with parent organisms, particularly if the parent organism requires microbiological skills or specialized equipment for handling (*Acinetobacter* sp., for example, is a *class II* pathogen). In view of that, we carried out a preliminary study of whole cell-catalyzed oxidations using our engineered yeast and *E. coli*.<sup>12</sup> Several sulfides known to be good substrates for CHMO and/or *Acinetobacter* sp. NCIB 9871 were tested. Our results have shown that the recombinant strains can successfully carry out asymmetric oxidations at sulfur centers. There were small differences in the performance between the yeast/CHMO and *E. coli*/CHMO-catalyzed reactions. The latter reagent was found to be superior in some cases since it did not produce side oxidations, which occasionally occurred in the yeast/CHMO-catalyzed reactions and, in a few cases, it afforded slightly better yields.<sup>12</sup>

In the course of this study, we established that dithiane and dithiolane, as well as their 2methyl-, 2,2-dimethyl-, and 2-phenyl derivatives were readily oxidized by the recombinant organisms. With these simple compounds the conversions were essentially complete and the isolated yields of the products were high. The two other concerns which needed attention were: (1) possible overoxidation by CHMO, and (2) competitive oxidation by oxidases native to the host strains (baker's yeast and *E. coli*).<sup>5,20,21</sup> Overoxidations to sulfones were detected in a few cases. Although common to both isolated enzyme and whole cell-catalyzed reactions, overoxidations were slightly more important during fermentations. It should be remembered that the transport of a more polar sulfoxide out of the cell is slower than the transport of a less polar sulfide into the cell. The increased competition between sulfide and sulfoxide for the same active site results in a higher proportion of sulfone production in whole-cell mediated reactions. In order to determine possible contributions from oxidations by host organisms, control experiments were conducted for both yeast and *E. coli* carrier strains. These experiments have shown that oxidases native to the host strains contributed little or not at all to the reactions under investigation.<sup>12</sup>



The preliminary studies outlined above encouraged us to examine further functional group compatibilities in the bio-oxidations of substituted dithianes and dithiolanes. Several of these compounds were prepared and screened as potential substrates. Ethyl 1,3-dithiane-2-carboxylate 1 was synthesized by transketalization between commercially available ethyl diethoxyacetate and 1,3-propanedithiol using  $BF_3 \cdot Et_2O$  as a Lewis acid catalyst. Treatment of 1 with NaH gave the stabilized carbanion intermediate, which was trapped by the subsequent addition of suitable electrophiles to give substituted dithianes 2–6 (Scheme 1). The dithiolanes 7–10 and dithianes 11 and 12 (Scheme 2) were prepared by the condensations of 1,2-ethanedithiol and 1,3-propanedithiol with the corresponding carbonyl compounds.

The screening reactions were carried out in simple shake flasks as described in the Experimental Section, and were monitored by GC (consumption of the starting materials) and TLC (appearance of the products). The bio-oxidations of functionalized dithianes and dithiolanes were found to be highly dependent on the individual substrate and bioreagent employed. The results are shown in Table 1. It should be pointed out that there are important differences in the way the two engineered microorganism function. Our previous experience has shown that *E. coli*/CHMO-catalyzed reactions are relatively rapid and a complete conversion (if a substrate is acceptable) is achieved within 24 h. After 24 h, fermentation and product formation essentially cease, and the products become contaminated with metabolites. For this reason, *E. coli*/CHMO reactions are rarely allowed to proceed for more than 48 h. On the other hand, yeast/CHMO cells

continue growing for a much longer period, and the formation of the products, although at a reduced rate, may continue for several days. The accompanying increase in metabolites complicates the purification but is compensated by increased yields.

	$(\stackrel{S}{\longrightarrow} \stackrel{R^1}{R^2} \longrightarrow$	$()_{nS}^{O} \times \mathbb{R}^{1}$	$\rightarrow$ $()_{nS}^{O} R^{2}$	R <sup>1</sup> , R <sup>2</sup> cf. Scheme 1 and structures listed below Scheme 1.	
	1-12	1a-12a	1b-12b	n = 1, 2	
Compound	Biocatalyst	Time [h]	Starting material <sup>a</sup> [%]	Sulfoxide a [%] <sup>b</sup> (trans:cis)	Sulfone b [%] <sup>b</sup>
1	Yeast/CHMO	90	71 <sup>c</sup>		
	E. coli/CHMO	15	12	37 (2:1)	10
2	Yeast/CHMO	130		30-60 (50:1)	
	E. coli/CHMO	48	>90		
3	Yeast/CHMO	130		33-60 (19:1)	
	E.coli/CHMO	48	>90		
4	Yeast/CHMO	130	>90		
	E. coli/CHMO	48	>90		
5	Yeast/CHMO	130	>80	>10	
	E. coli/CHMO	nd			
6	Yeast/CHMO	130	>90		
	E. coli/CHMO				
7	Yeast/CHMO	48	37	57 (43:1)	
	E. coli/CHMO	48	38	62 (50:1)	
8	Yeast/CHMO	48	2	96 (50:1)	
	E. coli/CHMO	23	70	12 (50:1)	
9	Yeast/CHMO	160	44	56 (3:1)	
	E. coli/CHMO	65	nd	50 (3:1)	
10	Yeast/CHMO	130	100		
11, 12	E. coli/CHMO	130	100		

**Table 1.** Screening of the substituted dithianes and dithiolanes as potential substrates for the engineered microorganisms expressing CHMO

<sup>a</sup> GC results. <sup>b</sup> Isolated yield after chromatography. <sup>c</sup> Hydrolysis of the ester accounts for additional 17%. nd = not determined.

Compounds 4, 5, 6, 10, 11, and 12 were not transformed by either of the two microorganisms and were recovered unchanged after more than 130 h of fermentation. When compound 1 was incubated with the yeast reagent for 90 h, there was no evidence of oxidation; the only reaction observed was hydrolysis of the ester. The recovered material was composed of 1 (71%) and the

corresponding acid (17%). When the same material was transformed with *E.coli*, the product was a mixture of **1** (12%), **1a** (37%), and **1b** (10%). On the other hand, **2** and **3** on incubation with yeast/CHMO gave some product after approximately 5 days, but there was no evidence of any sulfoxide (or sulfone) production in the *E. coli*/CHMO-catalyzed transformations and most of the starting material could be recovered after fermentation for 48 h. Compounds **7**, **8**, and **9** also showed promise as substrates for the recombinant strains.

Since the preliminary screening indicated 2, 3, 7, 8, and 9 as suitable candidates for the microorganism-catalyzed transformations, we re-investigated oxidations of these compounds. The goal was to establish enantioselectivity, diastereoselectivity, and to optimize yields. The results are shown in Table 2.

Table 2. Biooxidations of dithianes and dithiolanes

Yeast/CHMO							
$(\mathcal{F}_{R^2}^{S} \mathcal{F}_{R^2}^{R^1} \longrightarrow$	$(\mathcal{F}_{R^2}^{S} \times \mathbb{R}^{1})$	R <sup>1</sup> , R <sup>2</sup> cf. Scheme 1 and structures listed below Scheme 1.					
2, 3, 7-9	2a, 3a, 7a-9a	n = 1, 2					

				Sulfoxide a		
Starting	Diagotalyst	Tim	Ratio <sup>a</sup> Starting	Yield [%] <sup>b</sup>	ee	$\left[\alpha\right]_{D}^{23}$
material	Biocatalyst	e [h]	material: sulfoxide a	(trans:cis) <sup>a</sup>	[%]	(CHCl <sub>3</sub> )
2	Yeast/CHMO	200	36 <sup>e</sup>	64 (50:1)	>99	-75.4, c 3.1
					с	
3	Yeast/CHMO	200	Nd	63 (19:1)	>99	-37.8, c 6.6
					с	
7	Yeast/CHMO	48	37:63	51 (43:1)		nd
	E. coli/CHMO	48	38:62	22 (50:1)	84 <sup>d</sup>	+38.1, c 1.5
					>99	
					d	
8	Yeast/CHMO	48	2:98	96 (50:1)		+11.2, c 1.1
	E. coli/CHMO	23	70:30	12 (50:1)	36 <sup>d</sup>	nd
					35 <sup>d</sup>	
9	Yeast/CHMO	160	44:56	nd (3.5:1)	nd	
	E. coli/CHMO	65	45:55	50 (3.5:1)	nd	-5.64, c 1.5

<sup>a</sup> From GC. <sup>b</sup> Isolated yield after chromatography. <sup>c</sup> From chiral HPLC. <sup>d</sup> From chiral GC.

<sup>e</sup> Recovered starting material. nd = not determined.

Several controls were exercised to back-up biotransformations: (1) Chemical oxidations of the substrates were performed prior to biotransformations to establish methods for monitoring the reactions and to find suitable protocols for resolution of enantiomers and diastereomers. It turned out that products 2a and 3a could only be resolved on a chiral HPLC column, a process that took 80 minutes for each injection. The two reactions were followed by monitoring the consumption of dithianes 2 and 3; the products formation was confirmed by TLC. Only after the work-up the enantiomeric ratios were measured from chiral HPLC traces. The oxidations of the remaining three compounds 7, 8, and 9 were followed by GC and chiral phase GC. The enantiopurity of sulfoxide 9a is not known because we were unable to obtain resolution on either chiral phase GC or chiral phase HPLC columns. (2) A small amount (5%) of the natural substrate, cyclohexanone, was added together with the substrate in all reactions. Its prompt and complete conversion to caprolactone indicated that the normal cell growth and activity of yeast and *E. coli* was not inhibited by the substrates. (3) To establish that the native enzymes are not responsible for the oxidations, all starting materials were incubated with the carrier yeast and *E. coli* (in case of compounds 7, 8, and 9) and showed no evidence of any reaction.

In our efforts to optimize yields of sulfoxides, we discovered that the transformations of compounds 2 and 3 followed a different pattern from the oxidations of other sulfur-containing compounds. Whereas in all other fermentations, the formation of product would follow a relatively short lag period (typically a few hours) regardless of the rate of transformations, 2 and 3 remained unchanged for about 4 days. Only around the 5th day did the reaction start, as indicated by the consumption of the starting materials and the appearance of products. The reaction ceased after another 4–5 days at approximately 65% conversion. Addition of supplemental fresh culture and longer incubation did not improve the conversions.

It is interesting to note that during microorganism-catalyzed fermentations of sulfides the pH generally remains at about 6.5 to 7. In the course of the transformations of **2** and **3**, however, there was no observable product formation in the early stages of the reaction when the pH was 6.5–7. After a 5-day fermentation the mixture spontaneously reached a pH of 8–9.5, at which stage the product started to appear. Maintaining the pH at 8.5 from the very beginning of the reaction did not change either its course or its outcome, while buffering the mixture to pH 7 beyond the first 5 days prevented product formation entirely.

### Discussion

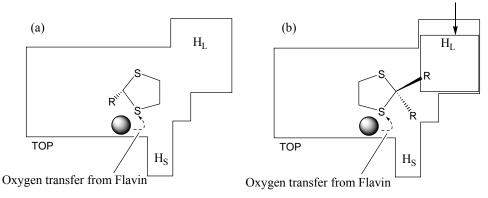
Chiral sulfoxides derived from 1,3-dithianes and dithiolanes are valuable asymmetric building blocks and chiral auxiliaries for a wide range of organic reactions,<sup>22, 23</sup> but the preparation of these compounds in optically pure form is difficult.<sup>24</sup> Bio-oxidations of simple 1,3-dithianes and dithiolanes<sup>5,8,12,16,17</sup> demonstrated that CHMO from *Acinetobacter* is an important catalyst for this reactions, and our earlier studies,<sup>12</sup> as well as the results presented in this paper, demonstrate that several such compounds, including very useful and highly interesting **2a** and **3a**, can be

prepared using the recombinant organisms overexpressing CHMO. Since the latter transformations do not involve pathogenic organisms and can be scaled up using optimized fermentation conditions, development of this route is very attractive. Furthermore, investigation of substituted dithiane and dithiolane substrates may contribute to better understanding of the active site and the oxidation mechanism of CHMO.

Several diastereotopic and enantiotopic preferences of the CHMO-catalyzed oxidations of 1,3-dithianes and dithiolanes emerged from initial studies with the isolated enzyme<sup>8</sup> and *Acineto-bacter*-mediated<sup>17</sup> reactions. The absolute configurations of the unsubstituted dithiane and dithiolane were (*R*)-, and although the enantiomeric ratio (E value)<sup>25</sup> for dithiane was a modest 20, the product isolated was >98% optically pure, due to the fact that CHMO oxidized the slower-forming (*S*)-enantiomer to sulfone more rapidly than it overoxidized its (*R*)-antipode.<sup>12</sup> In the process, (*R*)- was "purified" at the expense of the total yield of monosulfoxide. The oxidation of dithiolane was more selective with an E value of approximately 50.<sup>8</sup> In 2-methyl substituted substrates the *trans*-products were predominantly favored (50:1) and the sulfoxides produced were the (1*R*,2*R*)-isomers. In the case of the 2-(4-cyanophenyl)-substituted dithiolane the major sulfoxide formed was still *trans* (the absolute configuration was not established). The increasing steric bulk in 2,2-disubstituted substrates resulted in a decrease in yields and in the opposite (*S*)-configuration at sulfur, at least in the case of 2,2-dimethyl dithiane, for which the absolute configuration is known.

The enzyme CHMO has been shown to oxidize a large number of sulfides, generally in good yields and, in some cases, with a very high degree of enantioselectivity.<sup>5</sup> Especially good results were obtained when one of the substituents on the sulfur was bulkier (alkyl or aryl) than the other (methyl or ethyl).<sup>18</sup> On the basis of a large number of these reactions, Ottolina and co-workers developed a "cubic space" active site model.<sup>6</sup> This model provides insight into the (*R*)-and (*S*)-selectivities in CHMO oxidations of *para*-substituted phenyl methyl sulfides<sup>9</sup> and was extended, with some modifications, to explain enantio-preference in the Baeyer-Villiger reactions.<sup>26</sup>

Slightly raised roof in H<sub>L</sub> hydrophobic pocket



**Figure 1.** (a) Oxygen is transferred from the Flavin placed at the top of the "box." Dithiolane is positioned below Flavin in such a way as to allow the oxygen transfer from above. The non-reacting sulfur atom is placed in the M (main) pocket. There is space below dithiolane but not much space above it; thus if there is a substituent in position 2 it should be *trans* to the incoming oxygen atom. The oxygen transfer results in formation of the (R) enantiomer and trans (R, R) diastereomer. (b) This model may also explain why the 2,2-disubstituted substrates do not fit in the same way and why 2,2-dimethyl dithiolane gives (S) sulfoxide, while our 2,2-disubstituted compounds **10** and **11** are not acceptable substrates for CHMO. In the case of the latter substrates one of the R groups points out toward the "top" of the box model. The only space available above is in the HL box which has roof slightly raised over the main body of the box.

It is possible to explain the absolute configurations of the sulfoxides from the CHMO oxidations of these 1,3-dithioacetals in terms of the active site model discussed above.<sup>6,8</sup> Placing the "non-oxidized" sulfur atom in the M (main) pocket of the model and transferring oxygen from the Flavin located at the top to the "sulfur-to-be-oxidized", as proposed by the authors, leads to the (R)-enantiomer (Figure 1). The more rapid oxidation of the minor (S)-sulfoxide to sulfone is in agreement with this interpretation and so are the results obtained in the oxidation of 2-alkyl substituted compounds 7 and 8 included in this study. The absolute configuration obtained in the oxidation of 2,2-dimethyldithiane, the poor acceptance of the 2,2-disubstituted substrates with bulkier substituents by isolated enzymes, and a failure to oxidize compounds 10, 11, and 12 can also be explained in terms of the active site model.

A still unresolved "mystery" is the successful oxidation of compounds 2 and 3. The very long lag times are puzzling. It is well known that pH 8.6 is optimal for the performance of the CHMO enzyme. However, buffering the reaction at pH 8 did not shorten the lag period. It appears that it is only at the stationary phase, when the yeast cells start to die, that the reaction occurs. This could explain why the product yields are relatively low (maximum 64% obtained), since under these conditions the availability and recycling of NADPH, which is critical in this reaction, may have "run out". Another intriguing question is why the recombinant *E. coli* system did not yield any product even after the similarly long incubation periods. We are presently addressing these

questions.

In conclusion, it is important to recognize that recombinant yeast and *E. coli* strains overexpressing cyclohexanone monooxygenase are useful reagents for the oxidation of a range of dithiane and dithiolane substrates. It is clear that preliminary screening and control experiments are needed when significantly altered substrates are considered. Absence of competing activity from native oxidizing enzymes of the host strains must also be established. However, the final pay-off is often worth the preliminary work since it may provide a direct route to highly desirable, optically pure or highly enriched compounds such as sulfoxides **2a** and **3a**, which are difficult and cumbersome to prepare *via* conventional chemical approaches. Furthermore, the fermentation can be scaled-up and optimized thus giving access to large quantities of these useful products. From a mechanistic point of view, the unraveling of the processes occurring during the CHMO-catalyzed oxidation of the substrates **2** and **3** may throw some additional light on the active site and the behavior of the important and widely employed cyclohexanone monooxygenase enzyme.

### **Experimental Section**

General Procedures. NMR spectra were obtained on Brucker AMX 400 or on Varian XL-200. All spectra were recorded in CDCl<sub>3</sub> solutions with TMS as the internal standard unless otherwise specified. IR spectra from thin films were recorded on a Nicolet 520 FT-IR spectrophotometer or a Perkin Elmer 297 instrument. Optical rotations were measured on a Perkin-Elmer 241 polarimeter operating at room temperature. HPLC was performed on a Beckman System Gold personal chromatograph using an Econosphere 4.6 mm  $\times$  250 mm  $\times$  5 m Silica-C18 column (Alltech) coupled with a 4.6 mm  $\times$  150 mm  $\times$  5  $\mu$ m Chiralcel OD-H column (Daicel Chemical Industries Ltd.) operated at room temperature. Mixtures of hexanes and 2-propanol were used as the mobile phase. Capillary gas chromatography were performed on a Shimatzu GC-9A or HP 5890 gas chromatograph utilizing a DB-1301 0.54  $\mu$ m × 1.0 mm × 15 m column (J&W) or a β-CD-225 0.32 mm  $\times$  30 m  $\times$  0.25  $\mu$ m column (Supelco) and helium as the carrier gas. The injector and detector temperatures were maintained at 225 °C and 300 °C respectively. Thin-laver chromatography was performed on pre-coated silica gel 60 plates (Whatmann). Flash chromatography separations were conducted on 200-425 mesh silica gel (Silicycle/Merck. All solvents were dried and purified by fractional distillation. Other reagents were obtained from commercial suppliers and used as received.

### General procedure A for synthesizing dithiolanes and dithianes<sup>27</sup>

The aldehyde or ketone (20 mmol) and 1,2-ethanedithiol (1.7 mL, 20 mmol) were dissolved in  $CH_2Cl_2$  (40 mL). The solution was cooled in an ice-water bath, and  $BF_3 \cdot Et_2O$  (1 mL) was added dropwise. After the addition, the ice-bath was removed and the reaction mixture was vigorously stirred at room temperature for 1 h. The mixture was poured into a mixture of saturated NaHCO<sub>3</sub>

(40 mL) and ice, the organic layer was washed thoroughly with saturated NaHCO<sub>3</sub>, and the aqueous phase was extracted with  $CH_2Cl_2$ . The combined organic phase was dried over anhydrous MgSO<sub>4</sub> and the solvent was removed on a rotary evaporator. The residue was purified when necessary by vacuum distillation or flash chromatography on silica gel using mixtures of hexane and ethyl acetate as the eluent to give the final product. Dithianes were synthesized in a similar manner using 1,3-propanedithiol.

#### General procedure B for synthesizing 2-functionalized [1, 3]dithianes (2–6)

NaH (50% dispersion in mineral oil) was placed in a 100 mL 3-neck round bottom flask equipped with a refluxing condenser, a pressure equalizing dropping funnel, and a nitrogen balloon. The system was flushed 3 times with nitrogen, and NaH was washed 3 times with THF. A solution of ethyl [1,3]dithiane-2-carboxylate (1, 1.92 mg, 10 mmol) in THF (20 mL) was added and the stirred mixture was heated to 70 °C until homogeneous. After cooling in an icewater bath an appropriate electrophile (10 mmol) in THF (20 mL) was added slowly with vigorous stirring. In some cases, the stirred reactions required further heating. When the reaction had finished (as monitored by GC) the mixture was poured into ice water and extracted with ethyl acetate in 3 portions (total of 100 mL). The combined extracts were dried over anhydrous Na<sub>2</sub>SO<sub>4</sub>, and the solvent was removed on a rotary evaporator. The residue was purified by flash chromatography using a mixture of petroleum ether/ethyl acetate as eluent.

**Ethyl [1,3]dithiane-2-carboxylate (1).**<sup>28</sup> Compound **1** (Aldrich) was prepared on a several gram scale according to General Procedure A.

Ethyl 2-(2-methoxyethyl)[1,3]dithiane-2-carboxylate (2).<sup>29</sup> NaH (1 g, 20 mmol), 1 (1.92 g in 10 mL THF, 10 mmol) and 2-bromoethyl methyl ether (2.0 g in 10 mL THF, 16 mmol) reacted at 50 °C for 4 h according to General Procedure B. The mixture was poured into distilled water and the pH was adjusted to 2 with 2 M HCl. The mixture was extracted with petroleum ether in 3 portions (total of 100 mL). The combined extracts were dried over anhydrous MgSO<sub>4</sub> and the solvent was removed under reduced pressure. Flash chromatography using petroleum ether/ethyl acetate (8:1) as eluent gave 2 (1.75 g, 70%) as light yellow oil. IR (neat):  $\tilde{\nu}$  2990, 2840, 2900, 2840, 2820, 1730, 1420, 1220, 1115, 1020 cm<sup>-1</sup>; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>):  $\delta$  4.25 (2H, q, *J* = 7.0 Hz), 3.60 (2H, t, *J* =7.0 Hz), 3.31 (3H, s), 3.30 (2H, td, *J*=12.2, 2.75 Hz), 2.67 (2H, t, *J*=6.7 Hz), 2.15 (1H, m), 1.87 (1H, m), 1.33 (3H, t, *J* =7.0 Hz); <sup>13</sup>C NMR (100.5 MHz, CDCl<sub>3</sub>):  $\delta$  171.0, 68.1, 61.9, 60.4, 58.8, 38.2, 27.8, 24.6, 14.2.

Ethyl 2-(ethoxymethyl)[1,3]dithiane-2-carboxylate (3). NaH (4 g, excess), chloromethyl ethyl ether (3 mL, 32 mmol), and a solution of 1 (3.84 g, 20 mmol) in THF (20 mL) reacted at reflux for 1 h according to General Procedure B. The crude product was purified by flash chromatography using petroleum ether/acetone (10:1) as eluent to give **3** (3.57 g, 71%) as a colorless oil. IR (neat):  $\tilde{v}$  2973, 2934, 2901, 2868, 1729, 1440, 1229, 1117, 1071, 1091 cm<sup>-1</sup>; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>):  $\delta$  4.22 (2H, q, *J* =7.0 Hz), 3.77 (2H, s), 3.52 (2H, q, *J* = 7.0 Hz), 3.20 (2H, ddd, *J* =14.5, 11.8, 2.7 Hz), 2.65 (2H, ddd, *J* =14.4, 5.0, 3.2 Hz), 2.09 (1H, multiplet of 13

peaks), 1.92–1.79 (1H, m), 1.27 (3H, t, J = 7.2 Hz), 1.13 (3H, t, J = 7.0 Hz); <sup>13</sup>C NMR (100.5 MHz, CDCl<sub>3</sub>):  $\delta$  170.0, 74.5, 67.4, 61.8, 53.0, 27.0, 24.7, 14.7, 14.0.

**Ethyl 2-(n-butyl)**[1,3]dithiane-2-carboxylate (4).<sup>30</sup> NaH (0.8 g, excess), 1 (1.92 g, 10 mmol), and *n*-BuI (2 mL, excess) reacted at room temperature overnight according to General Procedure B to give 4 (1.78 g, 72%) as a pale yellow oil. IR (neat):  $\tilde{v}$  2960, 2930, 2870, 1725, 1465, 1420, 1240, 1200, 1120, 1025 cm<sup>-1</sup>; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>):  $\delta$  4.20 (2H, q, *J* = 7.2 Hz), 3.24 (2H, m), 2.60 (2H, ddd, *J* = 14.3, 4.3, 3.2 Hz), 2.1 (1H, dm, *J* = 14.0 Hz), 1.96–1.91 (2H, m), 1.87–1.71 (2H, m), 1.46–1.33 (2H, m), 1.28 (1H, t, *J* =7.7 Hz), 1.27 (3H, t, *J* = 7.2 Hz), 0.85 (3H, t, *J* = 7.5 Hz); <sup>13</sup>C NMR (100.5 MHz, CDCl<sub>3</sub>):  $\delta$  170.9, 61.6, 52.6, 38.6, 27.8, 26.4, 24.7, 22.8, 14.1, 13.7.

**Ethyl 2-(2-cyanoethyl)[1,3]dithiane-2-carboxylate** (5). NaH (1 g, 20 mmol), **1** (1.92 g, 10 mmol) and a solution of acrylonitrile (1 mL, 15 mmol) in THF (10 mL) reacted at 0 °C for 30 min according to General Procedure B. The product was purified by flash chromatography using petroleum ether/ethyl acetate (8:1) as eluent to give **5** (1.24 g, 51%) as a colorless oil. IR (neat):  $\tilde{v}$  2980, 2934, 2894, 2829, 2256, 1736, 1427, 1223, 1183, 1052 cm<sup>-1</sup>; <sup>1</sup>H NMR (200 MHz, CDCl<sub>3</sub>): δ 4.28 (2H, q, *J* = 7.0 Hz), 3.35 (2H, m), 2.77–2.62 (4H, m), 2.38 (2H, t, *J* = 8.9 Hz), 2.18 (1H, m), 1.74 (1H, m), 1.35 (3H, t, *J* = 7.0 Hz); <sup>13</sup>C NMR (50.3 MHz, CDCl<sub>3</sub>): δ 170.0, 119.1, 62.2, 60.3, 33.8, 27.5, 24.0, 14.1, 13.0.

2-Oxa-6,10-dithiaspiro[4.5]decan-1-one (6). NaH (0.5 g, 50% dispersion in mineral oil, 10 mmol) was placed in a 100 mL 3-neck round bottom flask equipped with a pressure equalizing dropping funnel and a nitrogen inlet tube. The system was flushed with nitrogen, and the NaH was washed 3 times with THF. A solution of 1 (1.92 g, 10 mmol) in THF (20 mL) was added, and the flask was heated to 35-40 °C for 20 min until a homogeneous gray-green suspension was obtained. NaH (0.8 g, 50% dispersion in mineral oil, 17 mmol) was placed in another 50 mL 3neck round bottom flask equipped with a pressure equalizing dropping funnel and a nitrogen balloon; the system was flushed 3 times with nitrogen and the NaH was washed 3 times with THF. Freshly distilled THF (10 mL) was added and the flask was cooled to −15 °C in an ice-salt bath. A solution of 2-bromoethanol (1.25 g, 10 mmol) in THF (50 mL) was added slowly. After stirring at -15 °C for 30 min, this solution was transferred to the first flask via cannula at -15 °C during a period of 5 min. The flask was maintained at -15 °C to 0 °C for 30 min, then it was warmed up to room temperature during 30 min. The mixture was poured into ice-water and extracted with ether in 3 portions (total of 100 mL). The solvent was removed under reduced pressure, and the residue was purified by flash chromatography using petroleum ether/ethyl acetate (8:1) as eluent to give 6 (1.59 g, 83%) as pale yellow oil. IR (neat):  $\tilde{v}$  2987, 2934, 1736, 1295, 1144, 1032 cm<sup>-1</sup>; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>):  $\delta$  4.16 (2H, q, J = 6.8 Hz), 3.34 (2H, ddd, J= 14.0, 11.2, 2.8 Hz), 2.54 (2H, ddd, J = 13.6, 5.6, 3.2 Hz), 2.07 (1H, m), 1.96 (1H, m), 1.25 (2H, t, J = 6.8 Hz); <sup>13</sup>C NMR (100.5 MHz, CDCl<sub>3</sub>):  $\delta$  169.7, 61.5, 60.2, 39.9, 25.8, 24.9, 13.9. 2-Isobutyl[1,3]dithiolane (7).<sup>31</sup> Isovaleraldehyde (1.72 g, 20 mmol) and 1,2-ethanedithiol (1.7 mL, 20 mmol) reacted according to General Procedure A. Vacuum distillation (100-110 °C/3 mmHg) gave 7 (2.82 g, 87%) as colorless oil. IR  $v_{max}$  (neat):  $\tilde{v}$  2960, 2927, 2868, 1466, 1367,

1275, 1176, 854, 755 cm<sup>-1</sup>; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>):  $\delta$  4.53 (1H, t, *J* = 1.3 Hz), 3.26–3.13 (4H, m), 1.72–1.68 (3H, m), 0.92–0.90 (6H, d, *J* = 6.8 Hz); <sup>13</sup>C NMR (100.5 MHz, CDCl<sub>3</sub>):  $\delta$  51.9, 48.1, 38.2, 28.4, 22.3.

**2-Pentyl[1,3]dithiolane (8).**<sup>32</sup> Hexanal (2 g, 20 mmol) and 1,2-ethanedithiol (1.7 mL, 20 mmol) reacted according to General Procedure A. Vacuum distillation (100–110°C/1 mmHg) gave **8** (2.68 g, 76%) as colorless oil. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>):  $\delta$  4.42 (1H, t, J = 3.6 Hz), 3.19 (4H, m), 1.78 (2H, q, J = 4.0 Hz), 1.40 (2H, q J = 3.96 Hz), 1.27 (4H, m), 0.84 (3H, t, J = 3.2 Hz); <sup>13</sup>C NMR (100.5 MHz, CDCl<sub>3</sub>):  $\delta$  53.8, 39.2, 38.2, 31.3, 28.9, 22.4, 13.9.

**Ethyl 2-methyl[1,3]dithiolane-2-carboxylate (9).**<sup>33</sup> Ethyl acetoacetate (1.5 mL, 20 mmol) and 1,2-ethanedithiol (1.7 mL, 20 mmol) reacted according to General Procedure A. The mixture was extracted with CH<sub>2</sub>Cl<sub>2</sub> in 3 portions (total of 120 mL). The combined extracts were dried over anhydrous MgSO<sub>4</sub> and the solvent was removed under reduced pressure. The residue was purified by flash chromatography using petroleum ether/ethyl acetate (20:1) as eluent to give **9** (4.0 g, 93.2%) as light yellow oil. IR (neat):  $\tilde{v}$  2979, 2924, 1736, 1336, 1187, 1087 cm<sup>-1</sup>; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>):  $\delta$  4.09 (2H, q, J = 3.6 Hz), 3.25–3.35 (4H, m), 2.95 (2H, s), 1.85 (3H, s), 1.22 (3H, t, J = 3.4); <sup>13</sup>C NMR (100.5 MHz, CDCl<sub>3</sub>):  $\delta$  169.9, 62.1, 60.4, 50.1, 39.7, 31.5, 14.0.

**2,2-Dibutyl-[1,3]dithiolane** (10). Dibutyl ketone (2.84 g, 20 mmol) and 1,2-ethanedithiol (1.7 mL, 20 mmol) reacted according to General Procedure A. Vacuum distillation (110 °C/0.1 mmHg) gave 10 (3.95 g, 91%) as a colorless oil. IR (neat):  $\tilde{v}$  2956.2, 2930.9, 2859.2, 1464.1, 1378.1, 1276.3 cm<sup>-1</sup>; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>):  $\delta$  3.27 (4H, s), 1.91 (4H, m), 1.44 (4H, m), 1.34 (4H, m), 0.93 (6H, t, J = 5.5 Hz); <sup>13</sup>C NMR (100.5 MHz, CDCl<sub>3</sub>):  $\delta$  71.6, 43.2, 39.3, 29.1, 22.9, 14.0.

**2-Isobutyl**[1,3]dithiane  $(11)^{34}$  and ethyl **2-methyl**[1,3]dithiane-2-carboxylate  $(12)^{35}$  were prepared as above and their spectroscopic data were consistent with those reported previously.

### General procedure C for yeast-mediated oxidations<sup>10,12</sup>

Propagation and maintenance of the yeast reagent have been described before.<sup>10</sup> YPG medium (100mL, 1% bacto-yeast extract, 2% bacto-peptone, 2% galactose) was added to a 250 mL baffled Erlenmeyer flask, followed by substrate (100  $\mu$ L), cyclohexanone (3  $\mu$ L), frozen yeast cells(0.2 g) and  $\gamma$ -cyclodextrin (0.5 g). The reaction mixture was shaken at 30 °C, 250 rpm. The reaction was monitored by GC and/or Chiral-GC until complete disappearance of starting material was shown, or there was no observable change in the relative concentrations of starting material, standard, and product. At this point, the reaction mixture was centrifuged (3000 g) at 4 °C for 10 min to remove yeast cells. The supernatant layer was saturated with NaCl and extracted with ethyl acetate (100 mL × 3). The combined organic extracts were washed once with brine, dried (Na<sub>2</sub>SO<sub>4</sub>) and concentrated under reduced pressure. The residue was purified by flash chromatography on silica gel.

## General procedure D for *E. coli*-mediated reactions<sup>12</sup>

Propagation and maintenance of *E. coli* strains have been described before.<sup>12</sup> The *E. coli* strain BL21(DE3)(pMM04) was streaked from a frozen stock on LB-Ampicillin plates and incubated at 37 °C until colonies were 1–2 mm in size. One colony was used to inoculate an LB-Ampicillin medium (10 mL) in a 50 mL Erlenmeyer flask and incubated at 37 °C, 250 rpm overnight This culture was used at a 1:100 ratio to inoculate an LB-Ampicillin medium supplemented with 10% glucose in a baffled Erlenmeyer flask. The culture was incubated at 30 °C, 250 rpm until OD<sub>600</sub> was approximately 1. Isopropyl-β-thio-D-galactoside (IPTG) stock solution (1.0 M) was added (0.1 μL per mL of medium) followed by the substrate. If cyclodextrin was necessary to alleviate the solubility problem, it was introduced at this stage. The culture was agitated at room temperature at 250 rpm and monitored by GC, HPLC, or TLC until the starting material disappeared, or there was no observable change in the relative concentrations of starting material, standard, and product. The culture was saturated with NaCl and extracted with ethyl acetate. Combined extracts were washed once with brine and dried (Na<sub>2</sub>SO<sub>4</sub> or MgSO<sub>4</sub>). The solvent was removed under reduced pressure, and the residue was purified by flash chromatography.

#### General procedure E for chemical oxidations<sup>36</sup>

A solution of dithiane or dithiolane (10 mmol) in methanol (50 mL) was cooled to approximately 15 °C. NaIO<sub>4</sub> (2.35 g,11 mmol) was dissolved in warm water (10 mL) and added to the solution of dithiane at 15 °C at a rate that kept the reaction temperature below 20 °C. The reaction was stirred at room temperature for 30–90 min until TLC analysis showed a complete consumption of the starting material. The mixture was evaporated to near dryness, the residue was diluted with distilled water and extracted with ethyl acetate. The combined organic extracts were dried over Na<sub>2</sub>SO<sub>4</sub> and concentrated under reduced pressure. Pure products were obtained by flash chromatography on silica gel.

Ethyl [1,3]dithiane-2-carboxylate 1-oxide (1a). Ethyl [1,3]dithiane-2-carboxylate (1, 100  $\mu$ L, 0.52 mmol) was oxidized by yeast in the presence of  $\gamma$ -cyclodextrin (0.5 g). The product was purified by chromatography using petroleum ether/acetone (3:1), followed by acetone as eluents. The recovered material was a mixture of 1 (79 mg, 77%) and 1,3-dithiane-2-carboxylic acid (19 mg, 17%). IR (neat):  $\tilde{v}$  3085, 3026, 2980, 2829, 2690, 2578, 1703, 1414, 1308, 1177, 927 cm<sup>-1</sup>; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>):  $\delta$  4.17 (1H, s), 3.42 (2H, m), 2.60 (2H, m), 2.25–1.93(2H, m); <sup>13</sup>C NMR (100.5 MHz, CDCl<sub>3</sub>):  $\delta$  176.1, 39.2, 25.6, 24.7.

*E. coli*-mediated oxidation of **1** (100µ L) according to General Procedure D gave **1a** (40 mg, 37%) as a yellow oil. NMR indicated a mixture of *cis/trans*-isomers; spectroscopic data were recorded from the mixture. IR  $v_{max}$  (neat):  $\tilde{v}$  2987, 2927, 1736, 1427, 1315, 1262, 1163, 1052, 867 cm<sup>-1</sup>; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>):  $\delta$  4.29 (1H, br, two overlapping singlets), 4.27 (2H, two overlapping sets of quartets, J = 7.2 Hz), 3.55 (1H, td, J = 12.5, 2.7 Hz), 3.35(1H, multiplet of 16 peaks), 3.22(1H, multiplet of 7 peaks), 3.01(1H, m), 2.80(2H, m), 2.64-2.42 (3H, m), 2.32 (1H, dt, J = 14.0, 4.8 Hz), 2.26–2.17 (1H, m), 2.17–2.04 (1H, m); <sup>13</sup>C NMR (100.5 MHz, CDCl<sub>3</sub>):  $\delta$ 

166.1, 165.7, 64.3, 62.9, 55.3, 50.4, 46.5, 28.0, 26.4, 24.2, 14.1, 13.9. Chemical oxidation of  $\mathbf{1}$  (1.92 g, 10 mmol) according to General Procedure E gave a mixture of steroisomers. Flash chromatography using petroleum ether/acetone (2:1) as eluent gave  $\mathbf{1a}$  (1.54 g, 74%) as oil, which solidified upon standing.

Ethyl 2-(2-methoxyethyl)[1,3]dithiane-2-carboxylate 1-oxide (2a). Dithiane 2 (200 µL, 0.86 mmol) was oxidized by yeast according to General Procedure C. The product was purified by chromatography using petroleum ether/ethyl acetate (4:1) as eluent to give starting material 2 (74 mg, 37%) and sulfoxide 2a (130 mg, 61%) as a colorless oil. IR (neat):  $\tilde{v}$  2993, 2927, 2815, 1723, 1229, 1124, 1038 cm<sup>-1</sup>; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>):  $\delta$  4.40–4.28 (2H, m), 3.66–3.60 (2H, m), 3.32 (3H, s), 3.31–3.21 (2H, m), 3.13–3.06 (1H, m), 2.85–2.75 (1H, m), 2.49–2.40 (2H, m), 2.32–2.20 (2H, m), 1.35 (3H, t, *J* = 7.2 Hz); <sup>13</sup>C NMR (100.5 MHz, CDCl<sub>3</sub>):  $\delta$  166.8, 67.4, 66.7, 62.6, 58.6, 47.5, 34.1, 26.7, 26.6, 14.1. HRMS (EI<sup>+</sup>): *m/z* calcd for C<sub>10</sub>H<sub>18</sub>O<sub>4</sub>S<sub>2</sub>: 266.0647; found: 266.0646. Chemical oxidation of 2 (0.25 g, 1 mmol) according to the General Procedure D gave a mixture of stereoisomers 2a (95 mg, 63%) which could be resolved on chiral HPLC.

Ethyl 2-(ethoxymethyl)[1,3]dithiane-2-carboxylate 1-oxide (3a). Ethyl 2-(ethoxymethyl)-[1,3]dithiane-2-carboxylate (3, 25 µL, 0.11 mmol) was oxidized by yeast according to General Procedure C to give 3a (17 mg, 64%) as a colorless oil. IR (neat):  $\tilde{v}$  2978, 2928, 2871, 1718, 1225, 1116, 1056 cm<sup>-1</sup>; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>):  $\delta$  4.33 (2H, m) 4.30 (2H, s), 3.50 (2H, m), 3.38 (2H, m), 3.13 (1H, m) 2.42 (2H, m), 2.30 (1H,m), 1.33 (3H, t, *J* = 7.0 Hz), 1.20 (3H, t, *J* = 7.0 Hz); <sup>13</sup>C NMR (100.5 MHz, CDCl<sub>3</sub>):  $\delta$  166.0, 69.8, 67.8, 63.8, 62.7, 47.7, 27.8, 26.5, 14.8, 14.2 ppm. HRMS (EI<sup>+</sup>): *m/z* calcd for C<sub>10</sub>H<sub>18</sub>O<sub>4</sub>S<sub>2</sub>: 266.0647; found: 266.0646. Chemical oxidation of 3 (0.25 g, 1 mmol) according to General Procedure E gave a mixture of stereoisomers 3a (168 mg, 64%) which could be resolved on chiral HPLC.

**2-Isobutyl[1,3]dithiolane-1-oxide (7a).** 2-Isobutyl[1,3]dithiolane **7** (50 µL 0.55 mmol) was oxidized by yeast according to the General Procedure C in the presence of  $\gamma$ -cyclodextrin (0.8 g). The product was purified by chromatography using petroleum ether/ethyl acetate (1:1), followed by acetone as eluents to give **7a** (56 mg, 57%). *E. coli*-mediated oxidation of **7** (50 µL, 0.55 mmol) according to General Procedure D in the presence of  $\gamma$ -cyclodextrin (0.5 g) gave **7a** (22.4 mg, 22%). IR (neat):  $\tilde{\nu}$  3466.9, 2960, 2927, 2868, 1472, 1400, 1058, 939 cm<sup>-1</sup>; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>):  $\delta$  4.16 (1H, m), 3.63 (1H, m), 3.39 (2H, m), 2.87 (1H, m), 1.79 (2H, m), 1.42 (1H, m), 0.983 (3H, d, *J* = 5.2 Hz), 0.935 (3H, d, *J* = 3.2 Hz); <sup>13</sup>C NMR (100.5 MHz, CDCl<sub>3</sub>):  $\delta$  71.9, 54.6, 41.9, 31.2, 27.2, 22.8, 21.6. Chemical oxidation of **7** (0.5 g, 3.1 mmol) according to General Procedure E gave a mixture of stereoisomers **7a** (443 mg, 81%) which could be resolved on chiral HPLC.

**2-Pentyl[1,3]dithiolane-1-oxide (8a).** 2-Pentyl[1,3]dithiolane **8** (100 µL, 0.5 mmol) was oxidized by yeast according to General Procedure C in the presence of  $\gamma$ -cyclodextrin (0.7 g) gave **8a** (95 mg, 96%). IR (neat):  $\tilde{\nu}$  2937, 2853, 1464, 1047 cm<sup>-1</sup>. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>):  $\delta$  4.13 (1H, t, J = 3.2 Hz), 3.61 (1H, m), 3.31 (2H, m), 2.82 (1H, m), 1.95 (1H, m), 1.48 (3H, m), 1.32 (4H, m), 0.91 (3H, t, J = 3.5 Hz). <sup>13</sup>C NMR (100.5 MHz, CDCl<sub>3</sub>):  $\delta$  73.6, 54.5, 32.9, 31.2, 31.3, 27.7, 22.2, 13.8. *E. coli* mediated oxidation of **8** (50 µL, 0.25 mmol) according to General

Procedure D in the presence of  $\gamma$ -cyclodextrin (0.7 g) gave a mixture of stereoisomers **8a** (6 mg, 12%) which could be resolved on chiral HPLC.

**Ethyl 2-methyl[1,3]dithiolane-2-carboxylate 1-oxide (9a).** Ethyl 2-methyl[1,3]dithiolane-2carboxylate **9** (100 μL, 0.5 mmol) was oxidized by yeast according to General Procedure C in the presence of γ-cyclodextrin (0.7 g) gave **9a** (56%, 0.25 g). IR (neat):  $\tilde{v}$  2980, 2934, 1731, 1341, 1194, 1051 cm<sup>-1</sup>; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>): δ 4.15 (2H, q, *J* = 3.6 Hz), 3.68 (1H, m), 3.38 (3H, m), 2.99 (2H, m), 1.66 (3H, s), 1.25 (3H, t, *J* = 3.6 Hz); <sup>13</sup>C NMR (100.5 MHz, CDCl<sub>3</sub>): δ 168.8, 72.8, 61.2, 55.2, 44.2, 31.4, 20.7, 14.1. *E. coli* mediated oxidation of **9** (50 μL, 0.25 mmol) according to General Procedure C in the presence of γ-cyclodextrin (0.7 g) gave **9a** (25 mg, 50%). Chemical oxidation of **9** (0.416 g, 2 mmol) according to the General Grocedure D gave a mixture of stereoisomers **9a** (0.28 g, 64%). Only *cis* and *trans* diastereomers were resolved on chiral HPLC.

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